

# The Moorings Homeowners Association Complaint Procedure

(for resolving certain complaints from members and others)

**WHEREAS**, pursuant to Section 55-530(E) of the Virginia Code, the Virginia Common Interest Community Board (“CICB”) has promulgated final regulations imposing a requirement that each common interest community adopt a reasonable procedure for the resolution of certain written complaints from the members of the association and other citizens; and

**WHEREAS**, within 90 days of the effective date of the CICB regulations, all common interest communities must adopt a complaint procedure that is compliant with the CICB regulations;

**NOW, THEREFORE, IT IS HEREBY RESOLVED THAT** the Moorings Association (“Association”), acting through its Board of Directors, hereby adopts and establishes the following CICB-mandated Association complaint procedure **for handling written complaints concerning actions or inactions allegedly inconsistent with state laws and regulations governing common interest communities:**

- A. **Definitions.** Unless otherwise defined in this resolution, the words, terms or phrases used in this Resolution shall have the same meanings as defined in the CICB regulations and/or in the Association’s recorded covenants.
- B. **Complaint Form.** If a member of the Association, a resident or other individual alleges that an action, inaction or decision of the Association or the Board of Directors (“Board”) is inconsistent with state laws or regulations governing common interest communities, then that individual must submit a formal written complaint (“Complaint”) to the Board using the attached Complaint Form (Exhibit A) in order to trigger the formal procedures described below. If the individual does not wish to trigger these formal procedures, then the individual should submit their questions, concerns or issues to the Board without using the attached form.
  - a. **Complaint Form Instructions and Attachments.** A completed Complaint Form must include a description of the specific facts and circumstances relevant to the individual’s Complaint, and the specific action, result or resolution that is being requested. If the individual submitting the Complaint Form (the “Complainant”) knows the law or regulation that has been allegedly violated or is otherwise applicable to the Complaint, then the Complainant must provide a reference to that law or regulation on the Complaint Form. The Complainant must also attach to the Complaint Form a copy of any documents that Complainant believes support the validity of the Complaint.

A copy of these complaint procedures will be available upon request from the Association by contacting any Board member.

- C. **Mailing or Delivering Complaint to Board of Directors.** The fully completed, signed and dated Complaint (including the Complaint Form and all attachments) shall be mailed or hand delivered to the Board at the following address: The Moorings Association, #1 The Moorings, Clarksville, Virginia 23927. It may be hand delivered to any Board Member.
- D. **Means of Providing Notices to Complainant.** All written acknowledgements or other notices required by these procedures to be provided by the Association to the Complainant shall be hand-delivered or mailed, with proof of mailing, to the address provided on the Complaint Form, or by facsimile transmission or by e-mail. The Association's Secretary shall retain in the Associations records proof of mailing, delivery or electronic transmission of the notices per Section H below.
- E. **Acknowledging Receipt of Complaint.** Within seven (7) days of receipt of a Complainant's Complaint Form, the Association shall provide Complainant with written acknowledgment of the Association's receipt of the Complaint.
  - a. **Incomplete Complaint.** If it appears that the submitted Complaint is missing the required minimum information, then the acknowledgment of the receipt shall include notice to the Complainant of the identified problem(s) with the Complaint and advise the Complainant that he/she will need to submit a revised/corrected Complaint before it can be accepted and forwarded to the Board for consideration.
  - b. **Forwarding to the Board.** If it appears that the submitted Complaint includes the required minimum information, then on the same day that acknowledgment of receipt is provided to the Complainant the Board members shall be given a copy of the Complaint for consideration.
- F. **Formal Action – Consideration of Complaint by Board.** All completed, signed and dated Complaints forwarded to the Board shall be considered by the Board at a meeting, and the Board shall decide what action, if any, to take in response to the Complaint.
  - a. **Meeting at which Complaint will be Considered.** Complaints will be considered by the Board at a regular or special Board meeting held within 90 days from the date on which the Complaint was forwarded to the Board for consideration.
  - b. **Notice to the Complainant.** At least 14 days prior to the Board meeting at which the Complaint will be considered, the Complainant will be provided with notice of the date, time and location of the Board meeting at which the matter will be considered by the Board. This notice may be combined with the acknowledgment of receipt referenced in Section D above.
  - c. **Board's Decision on Complaint.** The Board shall make a decision on the Complaint by an appropriate vote of the members of the Board. The Board's decision shall fall into one of the following two categories:

- i. A decision that there is insufficient information on which to make a final determination on the Complaint or that additional time is otherwise required to make a final determination, in which case the Board shall postpone making a final determination on the Complaint until a later scheduled Board meeting (announced at the meeting or by giving at least 14 days notice to the Complainant) and, if needed, make a written request for additional information from the applicable party(s), specifying a deadline by which time the additional information must be received by the Board, or
- ii. A final determination on the Complaint, indicating whether the Complainant's requesting action or resolution is, or is not, being granted, approved or implemented by the Board. A final may include, for example, a decision that no action will be taken on the Complaint due to the Complainant failing to timely provide additional information that was requested by the Association. No appeal process is available; the Board's rendered decision is final.

**G. Notice of Final Determination.** Within seven (7) days after the final determination is made (per subsection F, c, ii above), the Association shall provide the Complainant with written notice of the Board's final determination. The notice shall be dated as of the date of issuance and include:

- a. Specific citations to applicable provisions of the Association's governing documents, laws or regulations that led to the final determination;
- b. The Association's registration number as assigned by the CICB; and
- c. Notice of the Complainant's right to file a "Notice of Final Adverse Decision" with the CICB via the CIC Ombudsman.

**H. Records.** As part of the Association's records, a record of each Complaint (including the Complaint Form and attachments, related notices, and any action taken by the Association or Board in response to such Complaint) for a period of at least one (1) year from the date of the Association's final action on the Complaint.

**I. Resale Disclosure Packet.** A copy of this Resolution (including the Exhibit A Complaint Form) shall be included as an attachment to the Association-issued resale certificates.



of the final adverse decision, shall be in writing on forms provided by the Office of the Common Interest Community Ombudsman, shall include copies of any supporting documents, correspondence and any other materials related to the decision, and shall be accompanied by a \$25 filing fee. The Ombudsman may be contacted at:

Office of the Common Interest Community Ombudsman  
Department of Professional and Occupational Regulation  
9960 Maryland Drive, Suite 400  
Richmond, Virginia 2323  
[CICOMBUDSMAN@DPOR.VIRGINIA.GOV](mailto:CICOMBUDSMAN@DPOR.VIRGINIA.GOV)